

New Rényi divergence families defined via convex optimization and their applications

Peter Brown, Hamza Fawzi and Omar Fawzi

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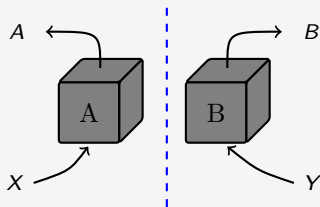
Part 1

The *iterated mean* divergences and their application to device-independent cryptography

Based on *Brown, P., Fawzi, H. and Fawzi, O., Computing conditional entropies for quantum correlations, Nat Commun 12, 575 (2021), arXiv:2007.12575.*

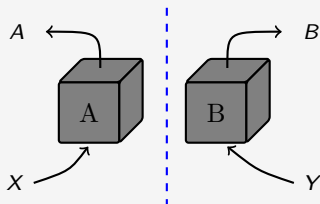
Motivation I

Bell-nonlocality



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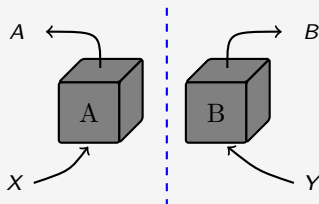
Bell-nonlocality



- Nonlocal correlations are inherently random.

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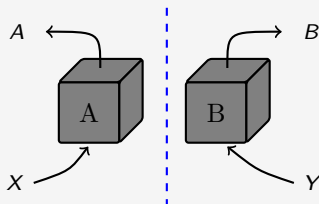
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- Foundation for randomness expansion / key-distribution protocols!

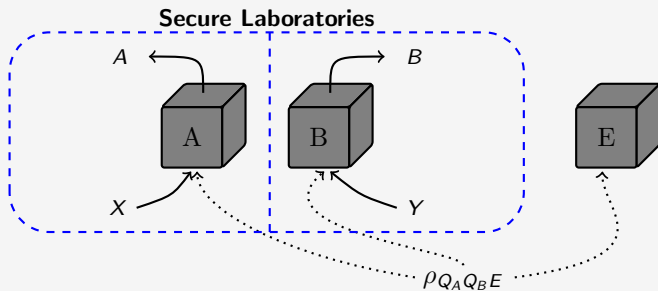
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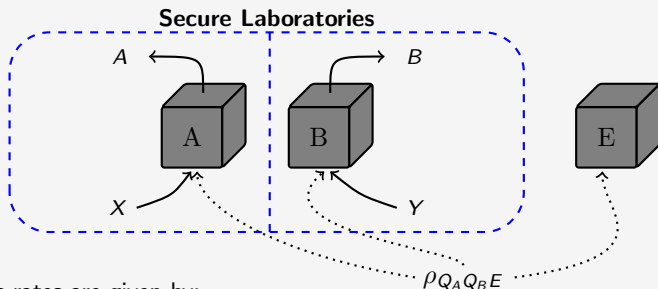


- Nonlocal correlations are inherently random.
- Foundation for randomness expansion / key-distribution protocols!
- Security and analysis relies on being able to calculate the *rates* of such protocols (bits per round).

Randomness generated per round



Randomness generated per round



Asymptotic rates are given by:

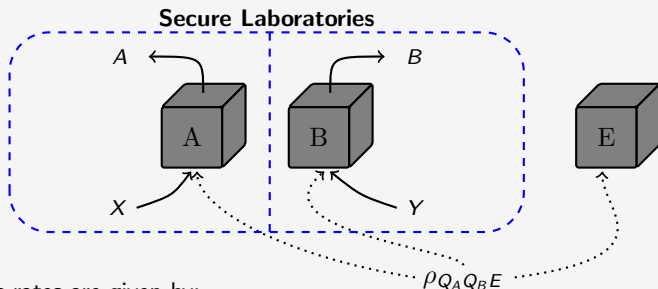
- **Randomness expansion**

$$H(AB|X = x^*, Y = y^*, E)$$

- **QKD**

$$H(A|X = x^*, E) - H(A|X = x^*, Y = y^*, B)$$

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Want device-independent lower bounds

Example

We want lower bounds on

$$\begin{aligned} \inf \quad & H(A|X = x^*, E) \\ \text{s.t.} \quad & \sum_{abxy} c_{abxy}^i p(ab|xy) = w^i \end{aligned}$$

where the infimum is over all finite dimensional **states** $\rho_{Q_A Q_B E}$, **POVMs** $\{\{M_{a|x}\}_a\}_x, \{\{N_{b|y}\}_b\}_y$ and joint **Hilbert spaces** $Q_A \otimes Q_B \otimes E$.

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Difficult to solve
nonconvex / unbounded dimension

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Known approaches

- Analytical bounds [PAB⁺09] – **tight bounds** / **restricted scope**
- Numerical bounds on H_{\min} – **easy to compute** / **poor bounds**
- Recent work [TSG⁺19] – **good bounds** / **computationally intensive**

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Our approach

- Define new conditional entropies that are easy to bound device-independently and lower bound $H(A|E)$.

The IM divergences

Entropies are special cases of **divergences**

$$\mathbb{H}^\uparrow(A|B)_\rho := \sup_{\sigma_B} -\mathbb{D}(\rho_{AB} \| I \otimes \sigma_B)$$

or

$$\mathbb{H}^\downarrow(A|B)_\rho := -\mathbb{D}(\rho_{AB} \| I \otimes \rho_B).$$

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We define our conditional entropies via a divergence

The IM divergences

Definition (Iterated mean divergences)

Let $\alpha_k = 2^k / (2^k - 1)$ for $k = 1, 2, \dots$. Then the **iterated mean** divergences are defined as

$$D_{(\alpha_k)}(\rho \parallel \sigma) := \frac{1}{\alpha_k - 1} \log Q_{(\alpha_k)}(\rho \parallel \sigma), \quad (1)$$

with

$$\begin{aligned}
 Q_{(\alpha_k)}(\rho \parallel \sigma) &:= \max_{V_1, \dots, V_k, Z} \alpha_k \operatorname{Tr} \left[\rho \frac{(V_1 + V_1^*)}{2} \right] - (\alpha_k - 1) \operatorname{Tr} [\sigma Z] \\
 \text{s.t.} \quad &V_1 + V_1^* \geq 0 \\
 &\begin{pmatrix} I & V_1 \\ V_1^* & \frac{(V_2 + V_2^*)}{2} \end{pmatrix} \geq 0 \quad \begin{pmatrix} I & V_2 \\ V_2^* & \frac{(V_3 + V_3^*)}{2} \end{pmatrix} \geq 0 \quad \dots \quad \begin{pmatrix} I & V_k \\ V_k^* & Z \end{pmatrix} \geq 0,
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where the optimization varies over $V_1, \dots, V_k, Z \in \mathcal{L}(\mathcal{H})$.

The IM divergences

Discrete family – $(2, \frac{4}{3}, \frac{8}{7}, \frac{16}{15}, \dots)$

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Defined via SDP

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Structure independent of the dimension!

IM divergence properties

- Satisfies data processing

$$D_{(\alpha_k)}(\mathcal{E}(\rho) \parallel \mathcal{E}(\sigma)) \leq D_{(\alpha_k)}(\rho \parallel \sigma) \quad \forall \text{ channels } \mathcal{E}.$$

IM divergence properties

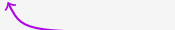
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- Lies between geometric and sandwiched

$$\tilde{D}_{\alpha_k}(\rho \parallel \sigma) \leq D_{(\alpha_k)}(\rho \parallel \sigma) \leq \hat{D}_{\alpha_k}(\rho \parallel \sigma)$$

Conditional entropies
will lower bound H



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Conditional entropies
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- Decreasing in k

$$D_{(\alpha_k)}(\rho \parallel \sigma) \leq D_{(\alpha_{k-1})}(\rho \parallel \sigma)$$

and so for the corresponding conditional entropies

$$H_{(\alpha_k)}(A|B) \geq H_{(\alpha_{k-1})}(A|B)$$

Improving lower
bounds on H

IM conditional entropies

Using the IM divergences we can construct a conditional entropy. Given a bipartite state ρ_{AB} we have

$$H_{(\alpha_k)}^\uparrow(A|B)_\rho = \frac{\alpha_k}{1 - \alpha_k} \log Q_{(\alpha_k)}^\uparrow(\rho) \quad (3)$$

where

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Form still suitable for
DI optimization!

IM conditional entropies II

For example

$$\begin{aligned}
 H_{(2)}^{\uparrow}(A|B)_{\rho} &= -2 \log \max_{V_1} \operatorname{Tr} \left[\rho \frac{(V_1 + V_1^*)}{2} \right] \\
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Compare with

$$\begin{aligned}
 H_{\min}(A|B)_{\rho} &= -\log \max \operatorname{Tr} [\rho M] \\
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For DI applications we can rewrite this in terms of the initial entangled state $|\psi\rangle\langle\psi|$ and the POVM operators used by Alice.

Can then be optimized in the Navascués Pironio Acín hierarchy [NPA07].

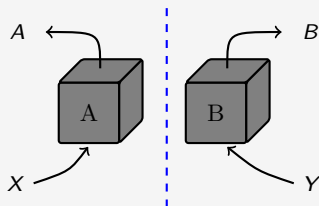
Application: DIRNG/DIQKD setup

- DIRNG – Lower bound

$$H(AB|X = x, Y = y, E)$$

- DIQKD – Lower bound

$$H(A|X = x, E) - H(A|B, X = x, Y = y)$$



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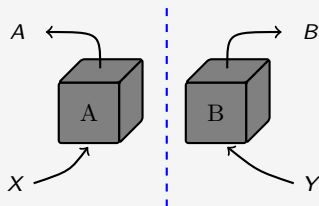
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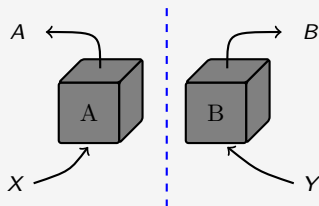
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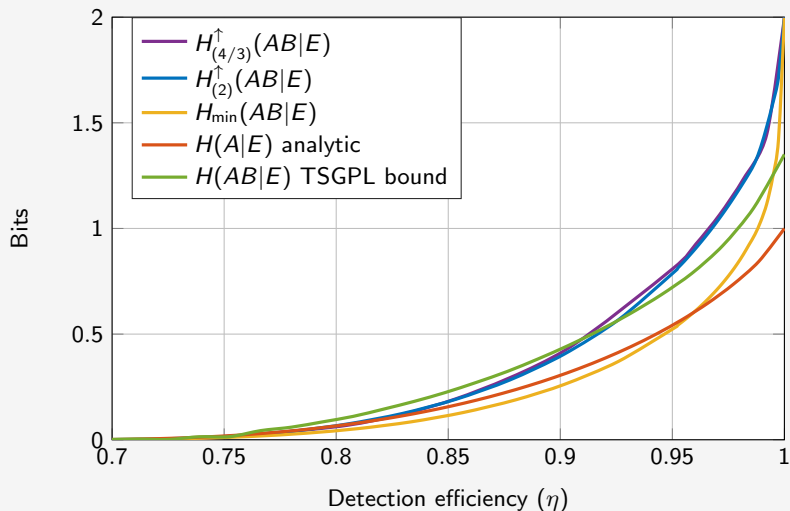
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- Constrain devices by some full joint probability distribution $p_{AB|XY}$.
- Assume devices have *detection inefficiencies*. With probability η device measures correctly and with probability $1 - \eta$ device deterministically outputs 0.

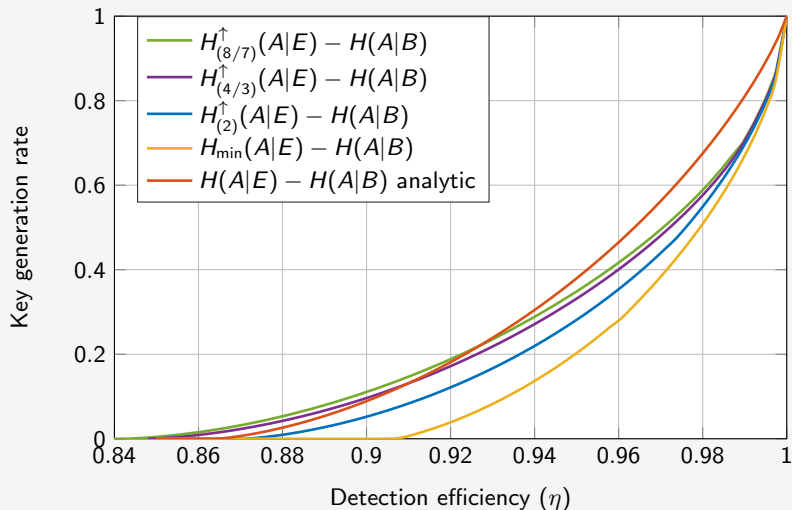
Application: DIRNG - full statistics / inefficient detectors



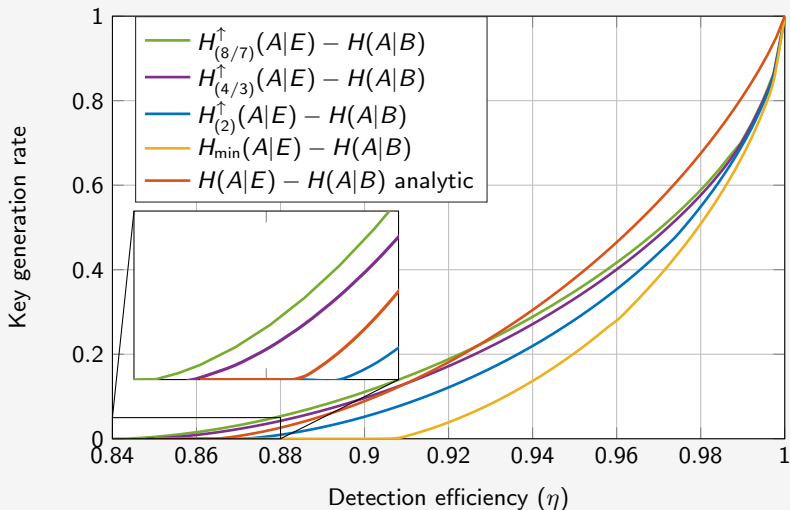
$H(A|E)$ bound from [PAB⁺09].

TSGPL bound from [TSG⁺19].

Application: DIQKD - full statistics / inefficient detectors



Application: DIQKD - full statistics / inefficient detectors



Part 2

Divergences defined via convex optimization with applications to quantum Shannon theory

Based on *Fawzi, H. and Fawzi, O., Defining quantum divergences via convex optimization, Quantum, 2021, arXiv:2007.12576.*

Motivation

Divergences are useful quantities in both classical and quantum Shannon theory.

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Divergences are useful quantities in both classical and quantum Shannon theory.

- Can be used to define other important entropic quantities – entropies / mutual information.
- Find direct operational meanings in rates for hypothesis testing – measures of distinguishability.
- This work introduces another family of divergences $D_{\alpha}^{\#}$ which provide new insights for the sandwiched divergences

$$\tilde{D}_{\alpha}(\rho||\sigma) = \frac{1}{\alpha - 1} \log \text{Tr} \left[\left(\sigma^{\frac{1-\alpha}{2\alpha}} \rho \sigma^{\frac{1-\alpha}{2\alpha}} \right)^{\alpha} \right].$$

Definition

Given two PSD matrices $A \gg B$ and $\beta \in [0, 1]$, let

$$A\#_{\beta}B := A^{1/2}(A^{-1/2}BA^{-1/2})^{\beta}A^{1/2}.$$

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SDP when $\alpha \in \mathbb{Q}$

Same as IM divergence when $\alpha = 2$

Channel divergence

We can also define a corresponding divergence for channels $\mathcal{N}, \mathcal{M} : \mathcal{L}(X') \rightarrow \mathcal{L}(Y)$ in the usual way

$$D_{\alpha}^{\#}(\mathcal{N} \parallel \mathcal{M}) = \sup_{\rho_{XX'}} D_{\alpha}^{\#}((\mathcal{I} \otimes \mathcal{N})(\rho_{XX'}) \parallel (\mathcal{I} \otimes \mathcal{M})(\rho_{XX'})).$$

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s.t. $J_{XY}^{\mathcal{N}} \leq J_{XY}^{\mathcal{M}} \#_{1/\alpha} A_{XY}$

Choi matrices

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Application I: Computing $\lim_{n \rightarrow \infty} \frac{1}{n} \tilde{D}_\alpha(\mathcal{M}^{\otimes n} \| \mathcal{N}^{\otimes n})$

We can use $D_\alpha^\#$ to compute

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Can also be used to compute bounds on the relative entropy analogue!

Application II: A new chain rule for \tilde{D}_α Theorem (Chain rule for \tilde{D}_α)

Let $\alpha > 1$, $\rho, \sigma \geq 0$ and $\mathcal{N}, \mathcal{M} : \mathcal{L}(X) \rightarrow \mathcal{L}(Y)$ be quantum channels. Then

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- Ex: useful for bounding repeated channel applications

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Task: Given black box access to one of the channels $\mathcal{N}, \mathcal{M} : \mathcal{L}(X') \rightarrow \mathcal{L}(Y)$, determine if you received \mathcal{N} .

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Strong converse exponent

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- Other applications to \tilde{D}_{α} ?
- Can we construct other families in a similar way?

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